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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: David F. HORROBIN

Serial No.: 09/898458

Examiner: L. S. Channavajjala

Filed: July 5, 2001

Group Art Unit: 1615

For: VITAMIN K AND ESSENTIAL FATTY ACIDS

SMALL ENTITY DECLARATION TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto please find at least one declaration claiming Small Entity status in the above-captioned application.

The Small Entity Declaration enclosed is by a:

☐ Independent inventor(s) or would qualify as one if made the invention

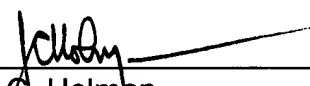
☒ Small Business Concern

☐ Nonprofit Organization

If refund is possible it is herewith requested from the Refund Section, Accounting Division, Office of Finance. Please credit the refund to undersigned counsel's Deposit Account No. 06-1358.

Respectfully submitted,  
JACOBSON HOLMAN PLLC

By

  
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I.D.: JCH/dls  
Folio: P66731US0  
Date: May 20, 2004

Enclosure: Small Entity Declaration



Law Offices of  
**JACOBSON HOLMAN**  
PROFESSIONAL LIMITED LIABILITY COMPANY  
400 SEVENTH STREET, N.W.  
WASHINGTON, D.C. 20004

Attny's Docket No. P66731US0

**SMALL ENTITY DECLARATION**  
[37 CFR 1.27(a)(1)-(3)]

Each undersigned declares that:

- (1) ☐ the application attached hereto.  
(2) ☒ U.S. Application Serial No. 09/898458, filed July 5, 2001  
(3) ☐ U.S. Patent No. \_\_\_\_\_, Issued \_\_\_\_\_

is entitled to the benefits of "small entity" status for paying reduced fees under 35 U.S.C. 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

(4) ☐ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.27(a)(1).

(5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(2); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.27(a).

(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that this organization qualifies as a nonprofit organization as defined in

- (a) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(A)  
(b) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(B)  
(c) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(C) State law of \_\_\_\_\_  
(d) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(D); and

that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.27(a).

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- (a) ☐ no such person, concern or organization  
(b) ☐ persons, concerns or organizations listed below  
[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8) _____	_____	_____
Typed Name of Inventor	Signature	Date
_____	_____	_____
Typed Name of Inventor	Signature	Date
_____	_____	_____
Typed Name of Inventor	Signature	Date
_____	_____	_____
Typed Name of Inventor	Signature	Date

(9) Pabay Investments Limited  
Name of Small Business Concern or Nonprofit Organization  
Sherri Clarkson By X X X  
Typed Name Signature Date  
Sherri Clarkson  
Title of Signatory Director